

## UNITED STATES PATENT AND TRADEMARK OFFICE

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DEC 2 3 2008

In re Application of

Jimmy Hwee CHEW, et al

Application No. 10/533,236

Filed: October 18, 2005

Docket No. 2085-05400

DECISION ON PETITION

This is a decision on the renewed petition under the unintentional provisions of 37 CFR 1.137(b), filed November 13, 2008, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, April 20, 2007, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on July 21, 2007.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of an amendment, (2) the petition fee of \$770; and (3) and the required statement of unintentional delay.

Telephone inquiries concerning this decision should be directed to Diane Goodwyn at (571) 272-6735.

This application is being referred to Technology Center AU 2829 for appropriate action by the Examiner in the normal course of business on the reply received February 29, 2008.

Thurman Page

Petitions Examiner

Office of Petitions

PTO/SB/64a (10-08)
Approved for use through 11/30/2008. OMS 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Under the Paperwork Reduction Act of 1995, no persons are required to				
PETITION FOR REVIVAL OF AN APPLICA		Docket Number (Optional)		
ABANDONED FOR FAILURE TO NOTIFY THE		2085-05400		
OR INTERNATIONAL FILING (37 C	PR 1.137(1))	<u> </u>		
First named inventor: Jimmy Hwee Seng CHEW				
First named inventor; The strain of the stra				
Application No.: 10/533,236	Art Unit: 282	9		
•				
Filed: October 18, 2005	Examiner: J.	M. Hollington		
Title: Handler for Somiconducto	()   - 4!   1.4 - 4   .	- J 7 L £		
Handler for Semiconducto	r Singulation and Metho	oa ineretor		
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Attention: Office of Petitions				
Mail Stop Petition				
Commissioner for Patents				
P.O. Box 1450				
Alexandria, VA 22313-1450				
FAX (571) 273-8300				
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NOTE: If information or assistance is nee	ded in completing this form,	please contact Petitions		
Information at (571) 272-3282.				
The above-identified application became abandoned	pursuant to 35 U.S.C. 1220	bX2)(B)(iii) for failure to timely		
notify the Office of the filing of an application in a fore	ign country or under a multir	ational international treaty that		
requires publication of applications eighteen months	after filing. The date of aba	indonment is the day after the		
expiration date of the forty-five (45) day period set in 3	!5 U.S.C. 122(b)(2)(B)(iii).	-		
DUDOLIANT TO 27 OFD 4 427/0 ADDITIONAL DEDI	TRY DETITIONS FOR REVA	/AL OF THIS APPLICATION		
PURSUANT TO 37 CFR 1.137(f), APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION UNDER 37 CFR 1.137(b)				
ONDEN	7 67 13 137(5)			
1.Petition fee				
Small entity-fee S (37 CFR 1.17(m))	. Applicant claims small entit	y status. See 37 CFR 1.27.		
	(07.0ED 4.47())			
Other than small entity – fee \$	(37 CFR 1.17(m))			
2. Notice of Foreign or International Filing (35 U.S.C.	122(b)(2)(B)(iil) and 37 CFR	1.213(c))		
Subsequent to the filing of the above-identi	fied application, an application	on was filed in another country,		
or under a multinational international trea	ty (e.g., filed under the Pa	tent Cooperation Treaty), that		
requires publication of applications eightee	n months after the filing. The	tiling date of the subsequently		
filed foreign or international application is		•		

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suspections for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT: The entire delay in filing the required notice of a foreign or international filing from the due date for the required notice until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

		(O NOV Ø8
Signature		Date
Lim Kian Hoo	ck <sup>\</sup>	
Typed or printed	name	Registration Number, if applicab
Blk 25 Kallang Ave #07-0	06, Singapore	65-9673092
Address		Telephone Number
Singapore 339	416	
Address		• ·
nclosures: Fee Payment		
Additional sheets contain	ing statements establish	ning unintentional delay
Other: Copy of form F	PTO/SB/64 preivous	ly filed on February 29, 2008
CERTIFICATE OF M	AILING OR TRANSMIS	SION [37 CFR 1.8(a)]
hereby certify that this correspondence	is being:	
Deposited with the United State postage as first class mail in a Patents, P. O. Box 1450, Alexa	n envelope addressed t	e date shown below with sufficient o: Mail Stop Petition, Commissioner for
Transmitted by facsimile on the Office at (571) 273-8300.	e date shown below to t	he United States Patent and Trademark
Date		Signature
	Typed or printe	d name of person signing certificate

PTC/S8/96 (10-08)
Approved for use through 11/30/2008, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control member.

STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: ADVANCED SYSTEMS AUTOMATION LIMITED				
Application No./Patent No.: 10/533,236 Filed/Issue Date: October 18, 2005				
Entitled: Handler for Semiconductor Singulation and Method Therefor				
ADVANCED SYSTEMS AUTOMATION LIMITED , a Corporation  (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)				
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)				
states that it is:				
1.  the assignee of the entire right, title, and interest; or				
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is%)				
in the patent application/patent identified above by virtue of either:				
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017469 , Frame 0843 , or for which a copy therefore is attached.				
A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:				
1. From: To:				
The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
2. From:				
The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
3. From:				
The document was recorded in the United States Patent and Trademark Office at				
Reel Frame or for which a copy thereof is attached.				
Additional documents in the chain of title are listed on a supplemental sheet.				
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.				
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]				
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.				
Signature 10 NU V 08  Date 65-96730926				
dim KiAN HOCK 65-96730926				
Printed or Typed Name Telephone Number				
Title				

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparity, and submitting the completed expiciation form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you requise to complete this form and/or suggestions for reducing this burdon, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ADVANCED SYSTEMS AUTOMATION LTD. BLK 25 KALLANG AVE 07-06 SINGAPORE SINGAPORE 33941-6 SG SINGAPORE

COPY MAILED
SEP 1 5 2008

In re Application of Jimmy Hwes Seng CHEW, et al Application No. 10/533,236 Filed: October 18, 2005

Attorney Docket No. 1007.P056US/GDL/AY

DECISION ON PETITION

This is a decision on the petition under 37 CFR 1.137(b), filed February 29, 2008, to revive the above-identified application.

The petition is **DISMISSED**.

The application became abandoned for failure to reply in a timely manner to the non-final Office action mailed, April 20, 2007, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on July 21, 2007.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(c). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Commissioner may require additional information. See MPEP 711.03(c)(II)(C) and (D). The instant petition lacks items (1) and (3) above.

Turning first to item (3) above, the statement of unintentional delay is not considered at this time to be a properly submitted statement. In this regard, the petition containing the statement of unintentional delay is signed by Lim Kian Hock, who is Vice President of Advance Systems Automation Limited. Petitioner's attention is directed to 37 CFR 1.33(b), which states.

Amendments and other papers. Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

- (1) A registered patent attorney or patent agent of record appointed in compliance with § 1.32(b);
- (2) A registered patent attorney or patent agent not of record who acts in a representative capacity under the provisions of § 1.34;
  - (3) An assignee as provided for under § 3.71(b) of this chapter; or
- (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

An unsigned amendment (or other paper) or one not properly signed by a person having authority to prosecute the application is not entered. This applies, for instance, where the amendment (or other paper) is signed by only one of two applicants and the one signing has not been given a power of attorney by the other applicant.

Therefore, as the petition containing the statement of unintentional delay is not signed by <u>an</u> <u>assignee of the entire interest and has complied with the provisions of 37 CFR 3.73(b)</u>, the petition is considered to not contain a proper statement of unintentional delay. As it appears that Mr. Hock is signing on behalf of an assignee, compliance with 37 CFR 3.73(b) must be satisfied. In this regard, 37 CFR 3.73(b) provides:

- (1) In order to request or take action in a patent or trademark matter, the assignee must establish its ownership of the patent or trademark property of paragraph (a) of this section to the satisfaction of the Director. The establishment of ownership by the assignee may be combined with the paper that requests or takes the action. Ownership is established by submitting to the Office a signed statement identifying the assignee, accompanied by either:
- (i) Documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment \* \* \* For patent matters only, the submission of the documentary evidence must be accompanied by a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or
- (ii) A statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number).

- (2) The submission establishing ownership must show that the person signing the submission is a person authorized to act on behalf of the assignee by:
- (i) Including a statement that the person signing the submission is authorized to act on behalf of the assignee; or
- (ii) Being signed by a person having apparent authority to sign on behalf of the assignee, e.g., an officer of the assignee.
- (c) For patent matters only:
- (1) Establishment of ownership by the assignee must be submitted prior to, or at the same time as, the paper requesting or taking action is submitted.
- (2) If the submission under this section is by an assignee of less than the entire right, title and interest, such assignee must indicate the extent (by percentage) of its ownership interest, or the Office may refuse to accept the submission as an establishment of ownership.

In view of the above, and as it appears that Mr. Hock is signing the statement of unintentional delay as an assignee, then compliance with 37 CFR 3.73(b) must be satisfied. A blank certificate under 37 CFR 3.73(b) is enclosed with this decision for petitioner's convenience.

As to item (1) above, the reply is considered defective also for the reasons stated above (that the paper (reply) must be signed by a proper party; *i.e.*, all the inventors or an assignee of the entire interest and, if signed by an assignee of the entire interest, must satisfy the provisions of 37 CFR 3.73(b).

In sum, petitioner must submit a petition containing a statement of unintentional delay and reply (amendment) signed by all the inventors, **unless** petitioner herein is the assignee of the entire right, title and interest in the instant application, then compliance with 37 CFR 3.73(b) must be satisfied, which can be accomplished by completion of the enclosed certificate under 37 CFR 3.73(b).

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(b)." This is **not** a final agency action within the meaning of 5 U.S.C. § 704.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop PETITIONS Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450 By hand:

Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax:

(703) 872-9306

ATTN: Office of Petitions

Any questions concerning this matter may be directed to the undersigned at (571) 272-6735.

Thurman Page
Petitions Examiner

Office of Petitions

Enclosure: Certificate under 37 CFR 3.73(b) and Privacy Act Statement